

# 概述MySQL优化器

原理和一些实践

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- 原理概述
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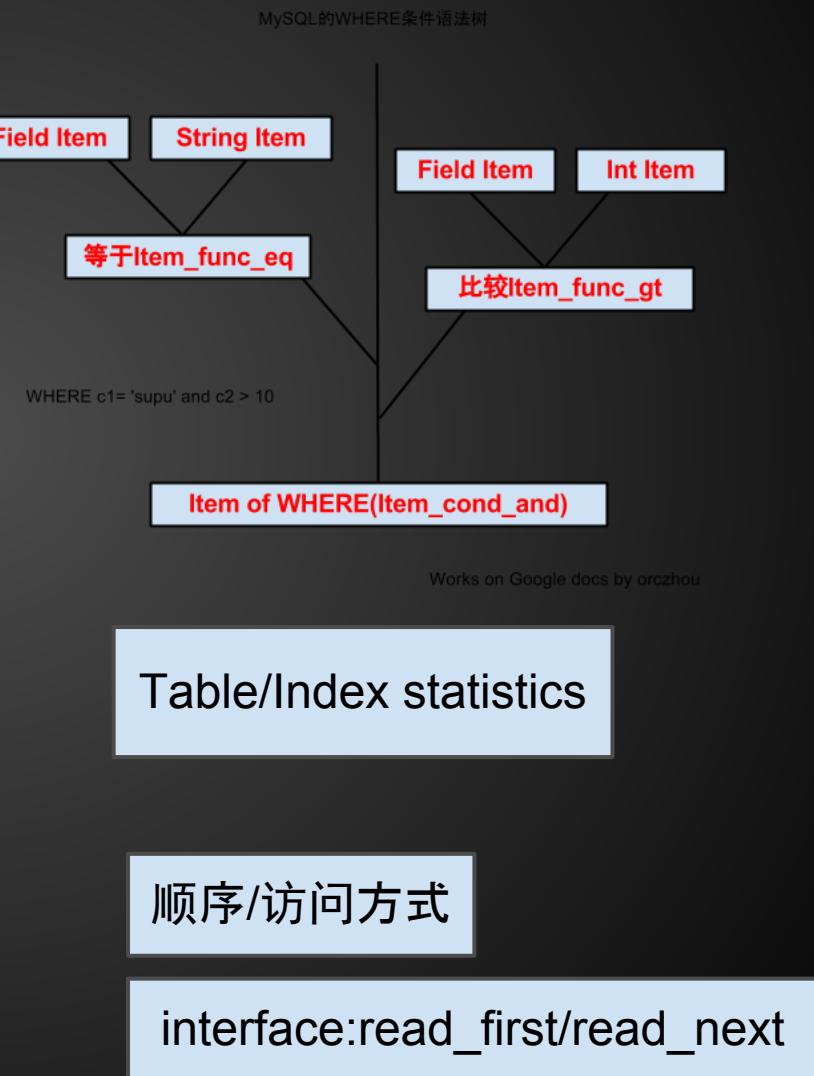
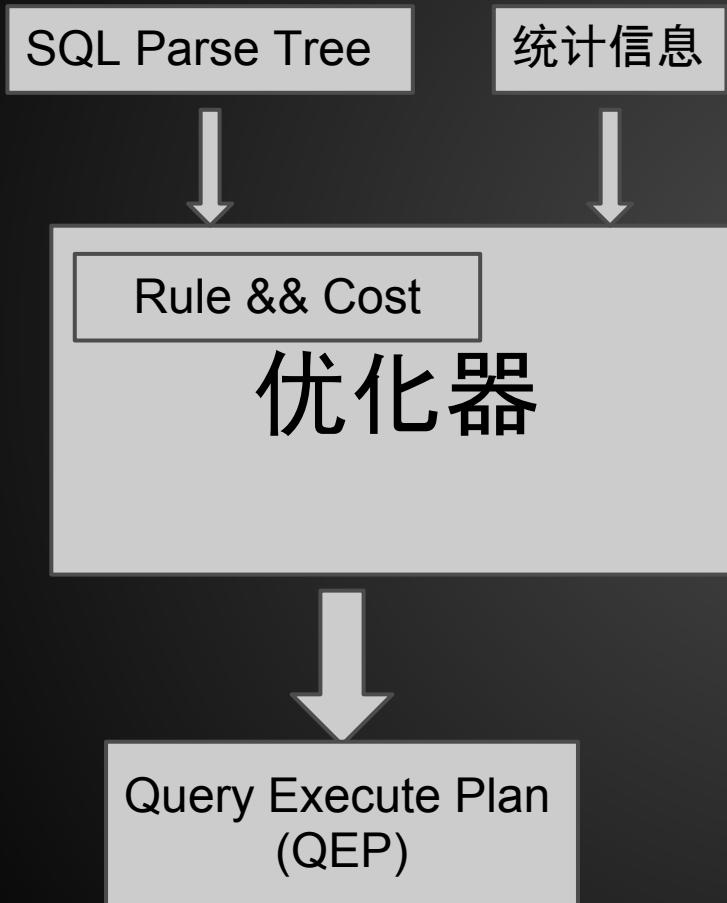
# 原理概述

- 优化器做什么
- MySQL优化器的主要工作
- MySQL案例--优化器如何工作

# 术语和名词

Relational	RDBMS	通常称作
Tuple	Row	记录/行
Attribute	Column	列/字段
Restrict	Predicate	WHERE条件/限制/谓词
...	...	...
	Row-id/Rowid	记录的唯一引用/Row-id
	ROR(Rowid-ordered Retrieval)	ROR/ <a href="#">参考</a>

# 什么是优化器？



# Code show

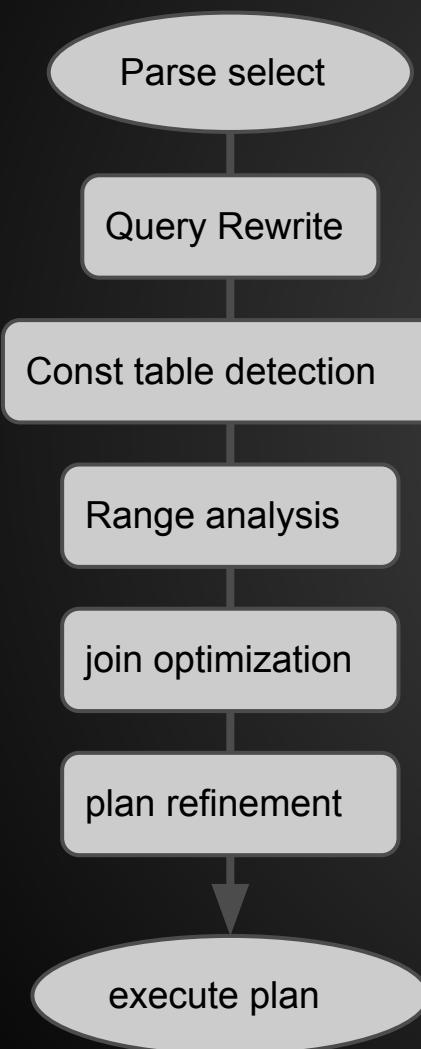
```
new JOIN(Lex);  
JOIN::prepare();  
JOIN::optimize();  
JOIN::exec();
```

顺序和访问方式

if subquery in MySQL5.1

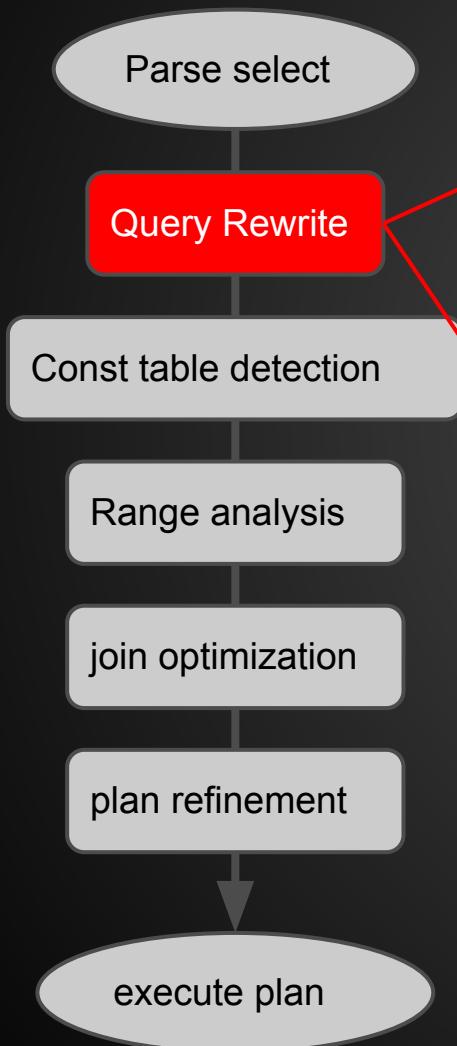
```
new JOIN(Lex);  
JOIN::prepare();  
JOIN::optimize();  
JOIN::exec();
```

# 优化器的工作



From: Sergey.P-2009-Understanding and Control of MySQL Query Optimizer

# 优化器的工作-Rewrite



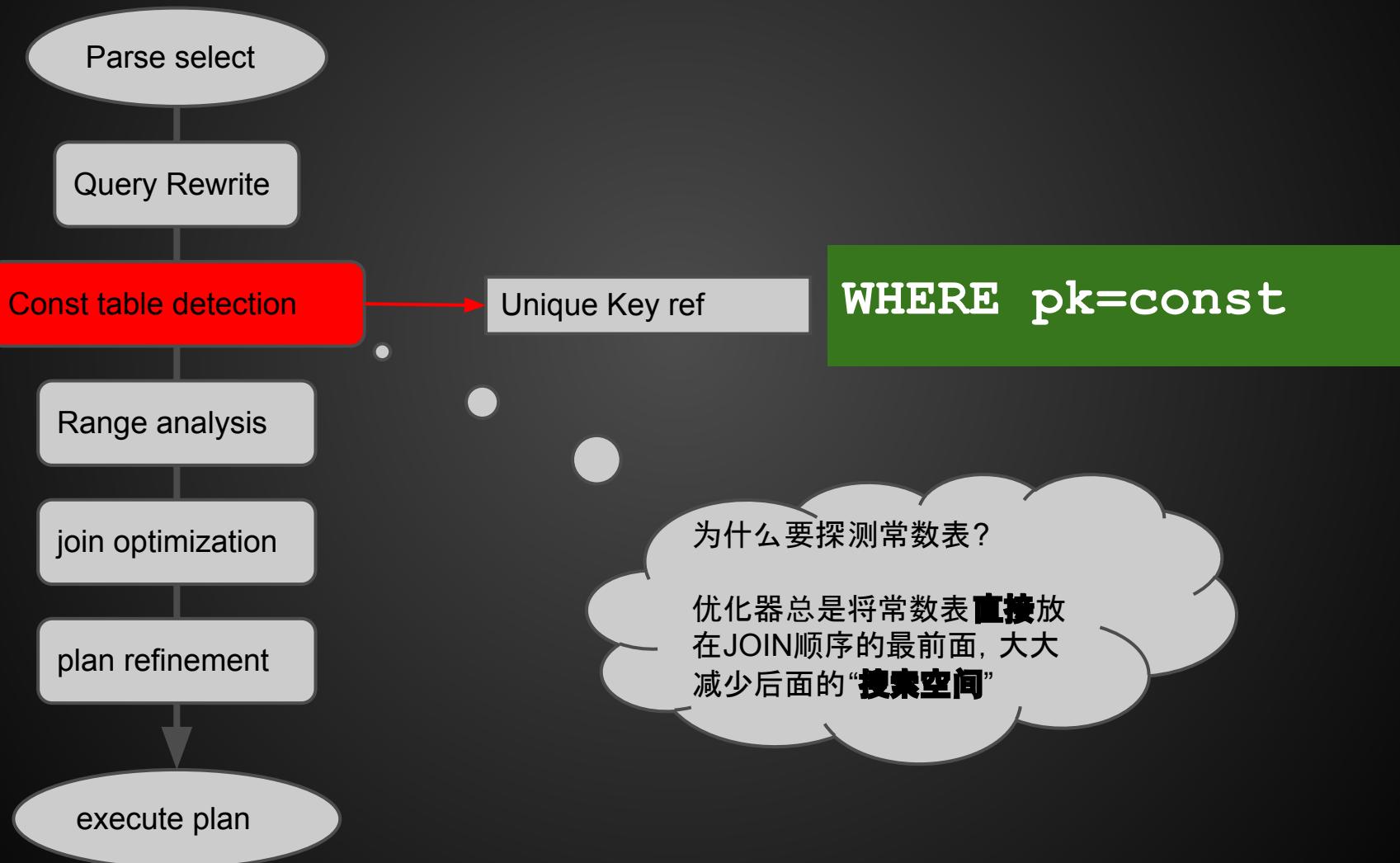
Outer -> Inner JOIN

```
SELECT * FROM  
A LEFT JOIN B  
WHERE B.col = 5  
. . . (null-rejected)
```

Rewrite subquery

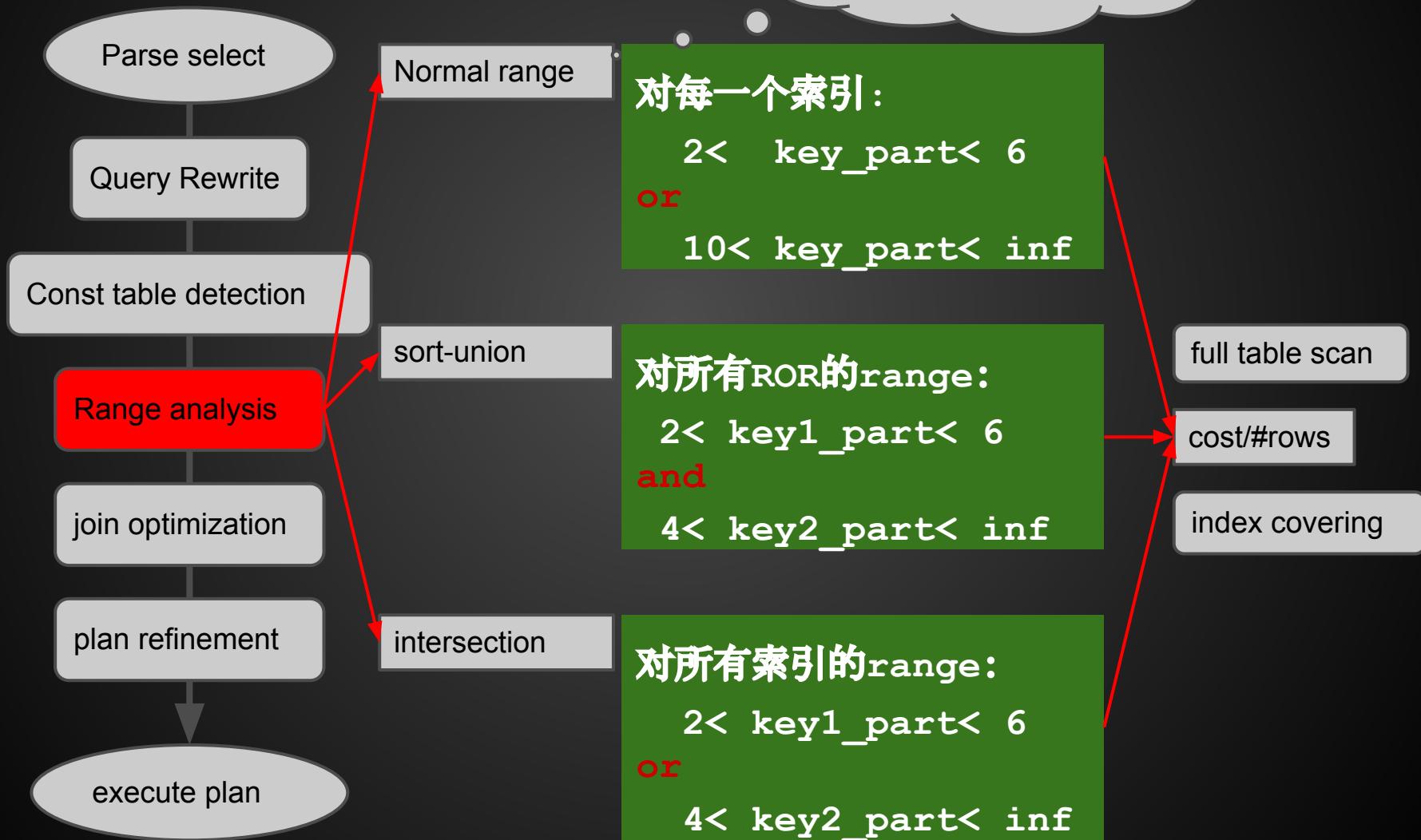
```
IN -> Exists  
(push down)
```

# 优化器的工作-const table



# 优化器的工作-range

对每一个索引：  
尝试找到对应的range



# Range-analysis

- Normal range

WHERE

2 < key1 < 6

and

4 < key2 < inf

cost/#rows

key1: 121 / 100

key2: 178 / 147

- intersection

WHERE

2 < key1 < 6

and

4 < key2 < inf

key1 and key2:  
(Both ROR)  
254.3 / 68

- sort-union

WHERE

2 < key1 < 6 or

4 < key2 < inf

key1 or key2:  
354.3 / 188

# Cost计算

总成本 := cpu cost + io cost

## MySQL如何读取/处理记录

```
info->read_record(info);          # IO COST  
evaluate_join_record(join,...); # CPU COST
```

对于range类型: 先根据索引  
找到ROWID, 然后根据  
ROWID取出记录(一次IO),  
取出后, 再根据 WHERE过滤  
(CPU消耗)

# Range-analysis: normal range

- Normal range

cost/#rows

WHERE

2 < key1 < 6  
and  
4 < key2 < inf

key1: 141/100

key2: 208 / 147

table scan:150

- cost 计算 sample

key1:

- storage 预估返回记录数, 100
- io cost = 100
- cpu cost = (#rows/5)\*2 = 40

# Range-analysis: normal range

- sample

```
explain select * from tmp_range
where key2_part1 > 89 and key2_part1 < 100\G
      id: 1
      select_type: SIMPLE
          table: tmp_range
          type: range
possible_keys: ind2
      key: ind2
key_len: 4
      ref: NULL
      rows: 25
Extra: Using where
```

```
show status like '%Last_query_cost%';
+-----+-----+
| Last_query_cost | 36.009000 |
+-----+-----+
```

cost: #rows + (#rows/5)\*2  
25 + 25/5\*2 = 35

# Range-analysis: intersection/交集

- Normal range

```
WHERE  
 2 < key1 < 6  
and  
 4 < key2 < inf
```

cost/#rows

key1: 141/100

table scan:150

key2: 208 / 147

- 场景

- 两个索引range返回rowid都很多
- 两组rowid交集很少
- 两个range都是ROR的(即返回的rowid都是已经排序好的)
- 两个索引能够覆盖

# Range-analysis: intersection range

- sample (参考)

```
explain select count(*) from tmp_index_merge where
(key1_part1 = 4333 and key1_part2 = 1657) and (key3_part1 = 2877)\G
***** 1. row *****
      id: 1
  select_type: SIMPLE
        table: tmp_index_merge
        type: index_merge
possible_keys: ind1,ind3
      key: ind3,ind1
    key_len: 4,8
      ref: NULL
     rows: 3622
Extra: Using intersect(ind3,ind1); Using where; Using index
```

cost: 每个索引读取成本 + ROWID合并成本 + 合并后记录读取成本

# Range-analysis: sort-union/并集

- Normal range

WHERE

2 < key1 < 6 or  
4 < key2 < inf

cost/#rows

key1: 141/100

key2: 208 / 147

table scan:150

- 场景

- 两个索引合并后能够覆盖整个查询

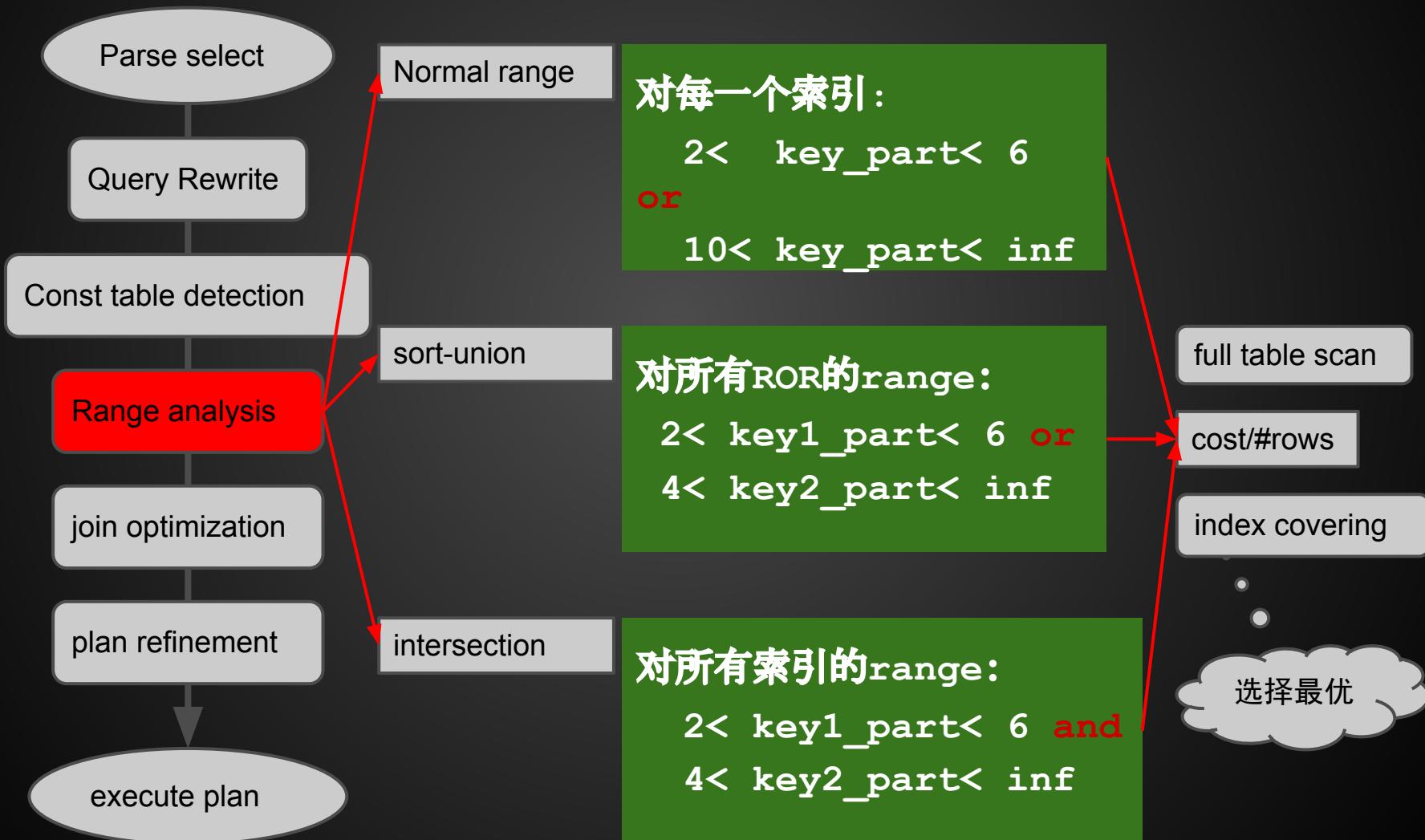
# Range-analysis: sort-union

- sample (参考)

```
explain select * from tmp_index_merge where (key1_part1 = 4333 and
key1_part2 = 1657) or (key3_part1 = 2877)\G
    id: 1
    select_type: SIMPLE
        table: tmp_index_merge
        type: index_merge
possible_keys: ind1,ind3
    key: ind1,ind3
key_len: 8,4
    ref: NULL
    rows: 2
Extra: Using union(ind1,ind3); Using where
```

cost: 每个索引读取成本 + ROWID排序成本(非ROR)  
+ 合并成本 + 合并后记录读取成本

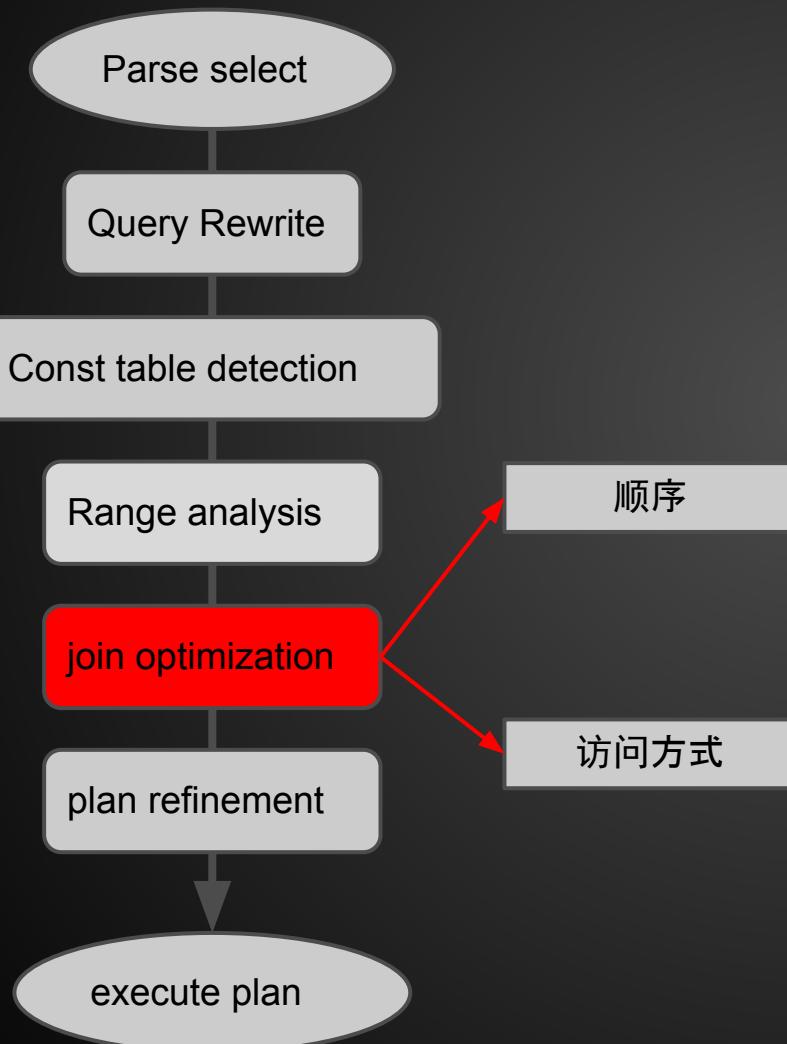
# 优化器的工作-range



# Range-analysis: 其他

- range无法直接使用索引统计信息
- MySQL目前没有直方图，只能每次调用存储引擎借口(某些场景会是系统瓶颈)
- 使用存储引擎抽样借口，可以避免数据分布不均匀的问题
- 等值表达式也按照range计算，多个range一次计算

# 优化器的工作-join optimization

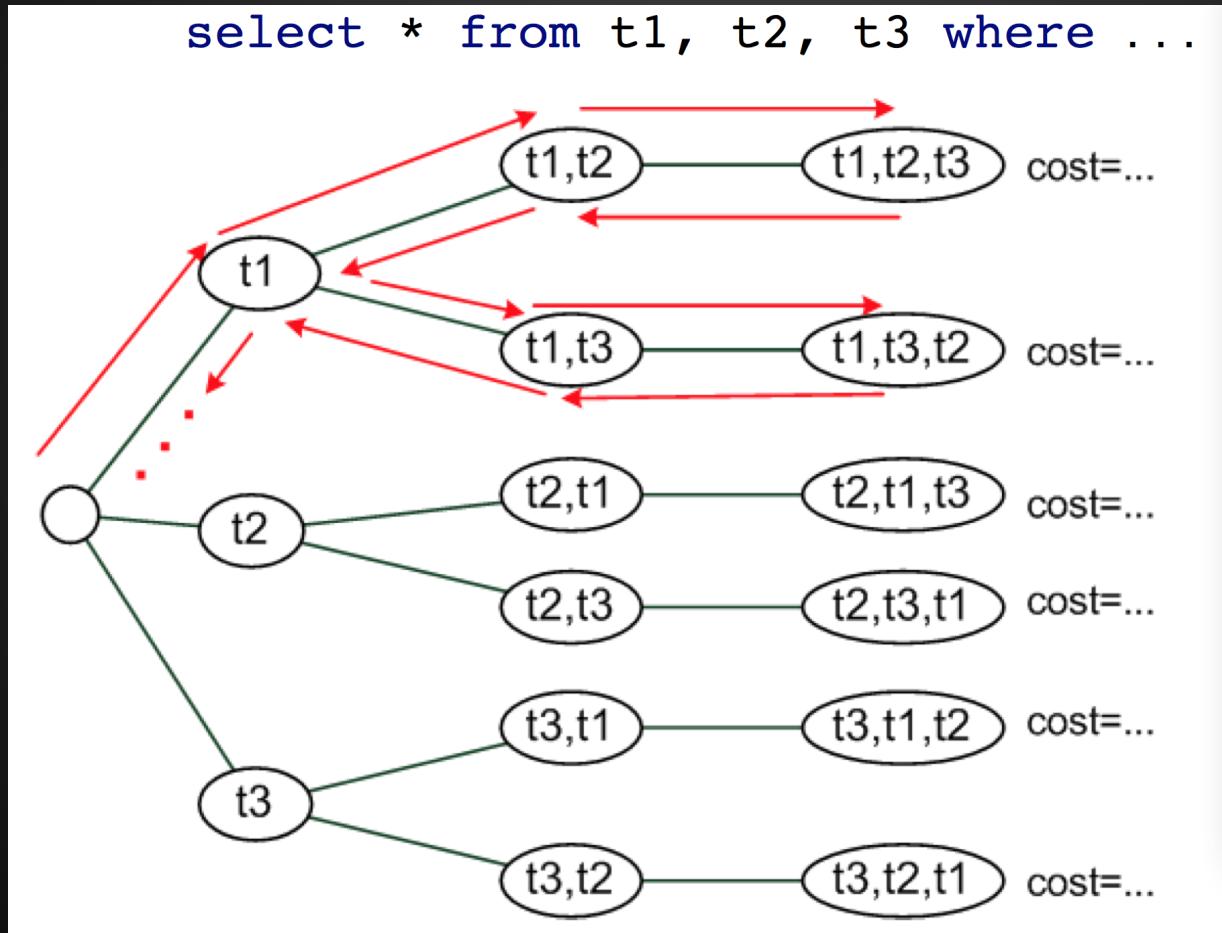


贪婪搜索--蜕化后为穷举搜索

顺序如何影响成本？

访问方式有哪些？

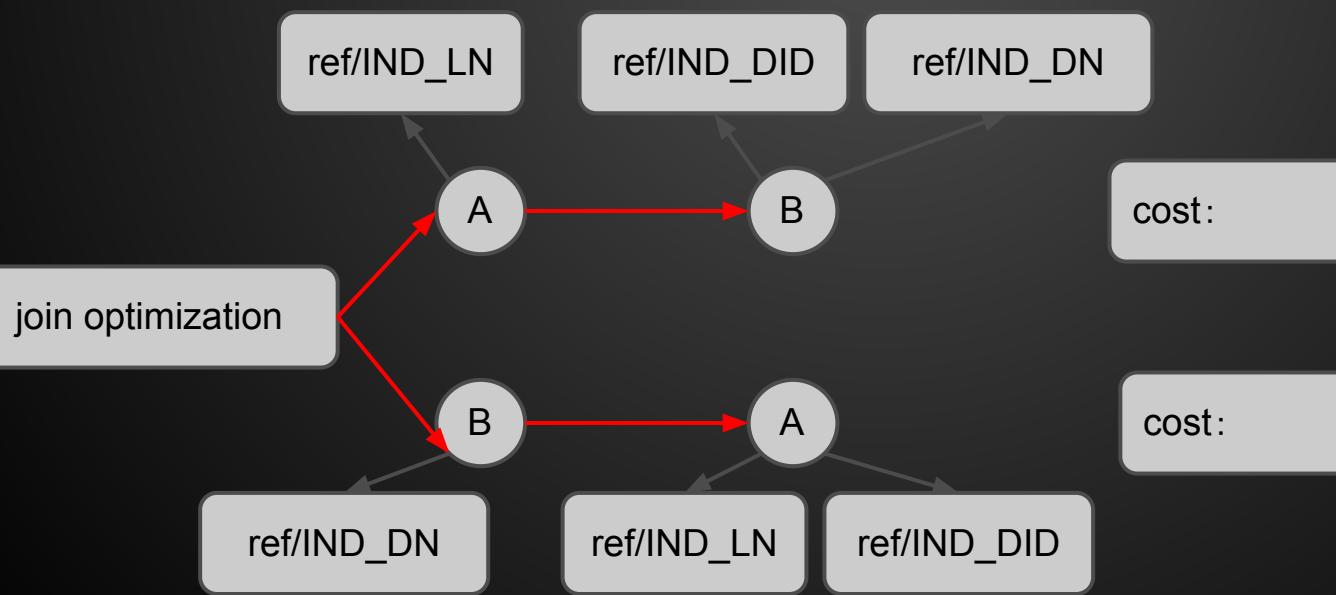
# 优化器的工作-join optimization



图片来源：

# 优化器的工作-join optimization案例

```
explain  
select *  
from  
    employee as A,department as B  
where  
    A.LastName = 'zhou'  
    and B.DepartmentID = A.DepartmentID  
    and B.DepartmentName = 'TBX' ;
```



# join optimization: 其他

- outer join处理时，总是转换为left join
- JOIN只遍历left-deep tree
- 如果可能，outer join都转换为inner join

# 目录

- 原理概述
- **Explain Explain**
- 更高效的SQL
- 优化器的一些常见错误
- MariaDB/5.6的改进

# Explain explain

- 概述/walkthrough
- 一些注意事项
  - keylen
  - select type

# Explain - walkthrough

```
explain ...
```

```
***** 1. row *****
```

```
    id: 1
```

```
select_type: SIMPLE/UNION/PRIMARY/SUBQUERY
```

select\_type: select类型

```
    table: A
```

```
    type: ref/const/eq_ref/ref/...
```

type: 数据访问方式

```
/range/index/ALL
```

```
possible_keys: IND_L_D,IND_DID
```

```
    key: IND_L_D
```

```
    key_len: 43
```

```
    ref: const
```

```
    rows: 1
```

rows: 预估需要扫描的记录

```
Extra: Using where      /using index/using
```

Extra: 其他信息

# Explain - key\_len

```

CREATE TABLE `department` (
  `DepartmentID` int(11) DEFAULT NULL,
  `DepartmentName` varchar(20) DEFAULT NULL,
  KEY `IND_D` (`DepartmentID`),
  KEY `IND_DN` (`DepartmentName`)
) ENGINE=InnoDB DEFAULT CHARSET=gbk;
*****
  1. row *****

      id: 1
select_type: SIMPLE
  table: B
    type: ref
possible_keys: IND_D,IND_DN
      key: IND_D
key_len: 5
      ref: test.A.DepartmentID
     rows: 1
Extra: Using where

```

key\_len:  $5 = \text{INT}(4 \text{ bytes}) + \text{NULL}(1)$

- NULL 需要额外一个字节
- VARCHAR 变成需要两个字节
- 多字节字符集 : gbk\*2 utf8\*3
- 其他:

int	4
datetime	8
bigint	8
CHAR(M)	M*w...

参考: [Data Type Storage Requirements](#)

# Explain - key\_len总是取最大的

```

CREATE TABLE `tmp_keylen` (
  `id` int(11) NOT NULL,
  `nick` char(10) DEFAULT NULL,
  `address` char(20) DEFAULT NULL,
  `color` char(10) NOT NULL,
  KEY `ind_t` (`id`, `nick`, `address`)
) ENGINE=InnoDB DEFAULT CHARSET=latin1

explain select * from tmp_keylen
where
    id >= 1
    and nick = 'zx'\G
***** 1.row *****
      table: tmp_keylen
      type: range
      key: ind_t
key_len: 15
      Extra: Using where

```

C id >=1 and nick = 'zx'

对MySQL来说, 这是两个Range:

id > 1

id = 1 and nick = 'zx'

对应的key\_len分别是 4和15

总是取最大的, 所以, key\_len 是15

# Explain - key\_len看出执行计划正确性

```
explain select *
from
  tmp_users
where
    uid      = 9527
  and l_date >= '2012-12-10 10:13:17'\G
***** 1. row *****
      id: 1
select_type: SIMPLE
      table: tmp_users
        type: ref
possible_keys: ind_uidldate
      key: ind_uidldate
key_len: 4
      ref: const
     rows: 418
Extra: Using where
```

```
CREATE TABLE `tmp_users` (
  `id` int(11) NOT NULL
  AUTO_INCREMENT,
  `uid` int(11) NOT NULL,
  `l_date` datetime NOT NULL,
  `data` varchar(32) DEFAULT NULL,
  PRIMARY KEY (`id`),
  KEY `ind_uidldate` (`uid`, `l_date`)
) ENGINE=InnoDB DEFAULT CHARSET=gbk;
```

解决:使用force index

[Bug#12113](#)

# Explain - type

**type: JOIN过程中, 单表访问方式**

const	只有一条记录, 如唯一索引的常数引用	WHERE primary_key=1;
ref/eq_ref/ref_or_null	引用 / 唯一索引引用 / (or null)	key = 1 / A.un_key = B.col3
range / index_merge	索引范围扫描 / 多个索引交集、并集	key > 10
index	全索引扫描	若有using index, 则 索引覆盖扫描 否则, 是按索引顺序扫描, 再回表
ALL	全表扫描	
unique_subquery / index_subquery	IN子查询, 改写成EXISTS后, 使用唯一/索引做first match扫描	
full-text	使用全文索引	
system	MyISAM表/且单表只有一条记录	

# Explain - type - index\_merge

使用多个索引访问数据

```
SELECT *
FROM
  tmp_index_merge
WHERE
  key1_part1 = 2
  or key2_part1 = 4
```

```
select key1_part2,key3_part1
from
  tmp_index_merge
where
  (  key1_part1 = 4333
and
  key1_part2 = 1657
)
and key3_part1 = 2877\G
```

同时使用key1和key2获取rowid, 然后  
merge后回表查询;一般满足:

- 两个索引访问成本都低
- 合并后成本也低于全表扫描

同时使用key1和key2获取数据, 然后  
merge后获得结果;需要满足:

- 所有索引访问都是ROR的
- 多个索引合并后需要是覆盖

# Explain - type - index

全索引扫描

```
type: index
possible_keys: NULL
      key: ind_uidldate
key_len: 12
      ref: NULL
     rows: 824
    Extra: Using index
explain select uid from tmp_users force index
(ind_uidldate)\G
```

如果Extra有using index, 表示这是一个  
索引覆盖扫描, 无需回表;

```
type: index
possible_keys: NULL
      key: ind_uidldate
key_len: 12
      ref: NULL
     rows: 824
    Extra: Using where
explain select data,uid from tmp_users force index
(ind_uidldate) where data = 3 order by uid\G
```

否则, 这是一个按照索引顺序的全表扫  
描, 仍然需要回表

# Explain - type - index\_subquery

## 子查询

```
explain
select * from tmp_t1
where
    id in
    (
        select id from tmp_t2
        where age = 3
    );
    id: 2
select_type: DEPENDENT SUBQUERY
    table: tmp_t2
        type: index_subquery
possible_keys: ind_id,ind_age
    key: ind_id
key_len: 5
    ref: func
rows: 1
Extra: Using where
```

**index\_subquery会使用first match原则, 性能较好**

**子查询需要满足如下条件才会使用 index\_subquery**

- **子查询格式:** left\_exp in (Subquery)
- **优化阶段发现子查询恰好使用REF/EQ\_REF**
- **select list对应的列也恰好是ref的字段**

**本案例中都满足:**

- **id in (...) 格式**
- **子查询使用索引ind\_id(id), 为ref**
- **select list中的列id, 正是ref索引的列**

**unique\_subquery类似于此, 只是使用的唯一索引**

```
CREATE TABLE `tmp_index_merge` (
  `id` int(11) NOT NULL,
  `key1_part1` int(11) NOT NULL,
  `key1_part2` int(11) NOT NULL,
  `key2_part1` int(11) NOT NULL,
  `key2_part2` int(11) NOT NULL,
  `key2_part3` int(11) NOT NULL,
  `key3_part1` int(11) NOT NULL DEFAULT '4',
  PRIMARY KEY (`id`),
  KEY `ind2` (`key2_part1`,`key2_part2`,`key2_part3`),
  KEY `ind1` (`key1_part1`,`key1_part2`,`id`),
  KEY `ind3` (`key3_part1`,`id`)
) ENGINE=InnoDB;
```

# 关于using index

type:index

Extra: using index; using where;

# 看不到的执行计划

Order by

QEP refinement

避免太多细节；